# EVALUATION REPORT FOR MODULES 4 & 5

**HIGHER EDUCATION INSTITUTION NAME:**

**COMPANY REGISTRATION NUMBER (CRN):**

## MODULE 4 – VIABILITY

### ORGANISATION AND MANAGEMENT OF R&D&I

|  |  |
| --- | --- |
| 4.1 Organisation and management of R&D&I Evaluate the organizational structure and setup of the R&D&I management system in relation to the size and type of the university and its mission and vision. Also consider comparisons with foreign universities of similar size and focus. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:[[1]](#footnote-1)** | |
| **Recommendations:** | |

###### R&D&I QUALITY MANAGEMENT AND SUPPORT SYSTEM

|  |  |
| --- | --- |
| 4.2 System of support for a quality R&D&I environment and incentive measures for quality science Evaluate the systems/measures/tools described to stimulate high-quality science at the HEI being evaluated. In your evaluation, consider the documented effectiveness of the measures described, their impact on achieving the mission and vision of the HEI being evaluated, the realization of excellent science and the possible absence of key systems/measures/tools. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

|  |  |
| --- | --- |
| 4.3 Quality control system for R&D&I environment Evaluate the described internal and external evaluation system in terms of its quality, effectiveness and suitability for the HEI. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

|  |  |
| --- | --- |
| 4.4 Sustainability and resilience of R&D&I Evaluate the described system of measures for sustainability and resilience of R&D&I in terms of their quality, effectiveness or possible absence of key measures. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

### PERSONEL POLICY

|  |  |
| --- | --- |
| 4.5 Structure of human resources Evaluate the described structure of human resources at the evaluated HEI in terms of age composition, degree of internationalization, distribution by job classification, and gender balance. For the assessment, use the data from the annexed tables 4.5.1 and 4.5.2; it is also possible to draw on the more detailed staffing data presented in indicator 3.1 of the self-evaluation reports for the evaluated units. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

|  |  |
| --- | --- |
| 4.6 Academic and Research Careers Evaluate the described system for the recruitment and career development of academic and research staff. Evaluate the system in terms of its quality and effectiveness, as well as the potential absence of key elements. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

|  |  |
| --- | --- |
| 4.7 Gender equality measures Evaluate the gender equality measures described in self-evaluation. Evaluate the measures in terms of their quality and effectiveness, as well as the potential absence of key measures. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

|  |  |
| --- | --- |
| 4.8 Mobility of academic and research staff (including sectoral and inter-sectoral mobility) Evaluate the described mobility of academic and researcher staff. Pay attention to whether the evaluated HEI achieves the set objectives, whether the mobility objectives are appropriately set for the HEI and whether the strategies in place lead to their achievement or help remove existing barriers to mobility. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

### RESEARCH INFRASTRUCTURE

|  |  |
| --- | --- |
| 4.9 Research infrastructure Evaluate the system described for the acquisition/optimisation of core facilities and other equipment and their renewal. Evaluate the system in terms of its effectiveness and suitability for the HEI. Also consider the system for sharing instruments and equipment, including core facilities and equipment of evaluated units. Use the data in Annex Table 4.9.1 to support your evaluation. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

### FINANCES

|  |  |
| --- | --- |
| 4.10 Budget and structure of financial resources Evaluate the budget and financial resource structure of the evaluated HEI in terms of its suitability for the HEI, i.e. whether the financial resource structure is appropriate for the size and type of the evaluated HEI. You should also pay attention to the ability of the evaluated HEI to attract prestigious research projects. Use the data in the annexed tables 4.10.1 to 4.10.5 to support your evaluation. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

|  |  |
| --- | --- |
| 4.11 Rules for the use of institutional support for the LCDRO Evaluate the strategy described and the rules for the use of institutional support for the LCDRO. In your evaluation, consider the effectiveness of the implemented strategy and policies and whether they contribute to the mission and vision of the HEI. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

### NATIONAL AND INTERNATIONAL COOPERATION

|  |  |
| --- | --- |
| 4.12 Significant collaborations in R&D Evaluate listed examples of significant R&D&I collaborations with respect to the effectiveness of the collaborations established, their proportionality and appropriateness to the type of HEI and its mission and vision. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

### STUDIES

|  |  |
| --- | --- |
| 4.13 Doctoral studies Evaluate the described organisation of doctoral studies. Pay attention to the setup and quality of the processes, as well as their effectiveness through basic statistics, such as drop-out rate or data on the future career of graduates. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

### IMPLEMENTATION OF RECOMMENDATIONS

|  |  |
| --- | --- |
| 4.14 Implementation of recommendations in Module 4 Evaluate how the HEI has reflected the recommendations from the previous evaluation of the IEP, if applicable.  If the HEI has not been evaluated before, the indicator will be marked as N/A – Not Applicable. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

### MODULE 4 RATING

|  |  |
| --- | --- |
| MODULE 4 – SUMMARY EVALUATION After evaluating each indicator, please provide an overall evaluation of Module 4. Assess the overall state of the research and institutional environment and the quality of the internal processes of the HEI. Consider whether and how the set processes contribute to the fulfilment of the HEI’s mission and vision.  The aggregate grade is determined in accordance with the rules of the Methodology HEI2025+ as a simple average of the scores for each indicator. | |
| **INDICATOR** | **RATING [1–5]** |
| 4.1 Organisation and management of R&D&I | **Choose an option** |
| 4.2 System of support for a quality R&D&I environment and incentive measures for quality science | **Choose an option** |
| 4.3 Quality control system for R&D&I environment | **Choose an option** |
| 4.4 Sustainability and resilience of R&D&I | **Choose an option** |
| 4.5 Structure of human resources | **Choose an option** |
| 4.6 Academic and Research Careers | **Choose an option** |
| 4.7 Gender equality measures | **Choose an option** |
| 4.8 Mobility of academic and research staff (including sectoral and inter-sectoral mobility) | **Choose an option** |
| 4.9 Research infrastructure | **Choose an option** |
| 4.10 Budget and structure of financial resources | **Choose an option** |
| 4.11 Rules for the use of institutional support for the LCDRO | **Choose an option** |
| 4.12 Important collaborations in R&D&I | **Choose an option** |
| 4.13 Doctoral studies | **Choose an option** |
| 4.14 Implementation of recommendations in Module 4 | **Choose an option** |
| **AVERAGE RATING** | **Choose an option** |
| **GRADE [A–D]** | **Choose an option** |
| **Summary assessment:** | |
| **Summary recommendations:** | |

## MODULE 5 – STRATEGY AND POLICIES

|  |  |
| --- | --- |
| 5.1 Mission and vision of the evaluated institution in R&D&I Evaluate the described vision and general mission of the university emphasizing R&D&I (in context of its educational function and the educational policy strategy of the state or the relevant ministry for higher education. Compare the defined mission with reality. The evaluation should consider how the mission and vision of the HEI has been fulfilled in the past five-year period and whether the vision for the next five-year period is adequately set and realistically achievable. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

|  |  |
| --- | --- |
| 5.2 Research and development objectives Evaluate the set goals of the HEI in both the area of R&D&I and the development of the HEI as an institution. Consider whether the goals are adequately established in relation to the size and type of the HEI, as well as its mission and vision, and whether they are achievable within the proposed timeframe. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

|  |  |
| --- | --- |
| 5.3 Institutional instruments and measures for the implementation of the research and development strategy Evaluate the described institutional and strategic instruments for the fulfilment of the research and development objectives regarding how they will contribute to the fulfilment of these objectives. In your evaluation, also pay attention to the possible absence of key instruments or measures. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

|  |  |
| --- | --- |
| 5.4 Implementation of recommendations in Module 5 Evaluate how the HEI has reflected the recommendations from the previous evaluation of the IEP, if applicable.  If the HEI has not been evaluated before, the indicator will be marked as N/A – Not Applicable. | |
| **Rating [1–5]:** | **Choose an option** |
| **Qualitative assessment:** | |
| **Recommendations:** | |

### MODULE 5 RATING

|  |  |
| --- | --- |
| MODULE 5 – SUMMARY EVALUATION After evaluating each indicator, please provide an overall evaluation of Module 5. Evaluate the overall setting of the HEI’s strategic objectives and development plan.  The aggregate grade is determined in accordance with the rules of the Methodology HEI2025+ as a simple average of the scores for each indicator. | |
| **INDICATOR** | **RATING [1–5]** |
| 5.1 Mission and vision of the evaluated institution in R&D&I | **Choose an option** |
| 5.2 Research and development objectives | **Choose an option** |
| 5.3 Institutional instruments and measures for the implementation of the research and development strategy | **Choose an option** |
| 5.4 Implementation of recommendations in Module 5 | **Choose an option** |
| **AVERAGE RATING** | **Choose an option** |
| **GRADE [A–D]** | **Choose an option** |
| **Summary assessment:** | |
| **Summary recommendations:** | |

1. Provide verbal assessment of the indicator and briefly comment on the reasons for awarding the specific rating. Follow a similar procedure for the other indicators. [↑](#footnote-ref-1)